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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/677,000	09/29/2000	Adrian William Spender	GB9-2000-0096-US1	2346
25259	7590	03/01/2004	EXAMINER	
IBM CORPORATION 3039 COWNALLIS RD. DEPT. T81 / B503, PO BOX 12195 REASEARCH TRIANGLE PARK, NC 27709			WANG, LIANG CHE A	
		ART UNIT	PAPER NUMBER	2155 8
DATE MAILED: 03/01/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	09/677,000	SPENDER, ADRIAN WILLIAM
	Examiner Liang-che Alex Wang	Art Unit 2155

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 23 December 2003.
- 2a) This action is FINAL.      2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-5 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-5 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 23 December 2003 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
|  | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

1. Claims 1-5 have been examined

### ***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1- 5 are rejected under 35 U.S.C. 102(e) as being anticipated by Jacobs et al., US Patent Number 6,334,114, hereinafter Jacobs.

4. Referring to claim 1, Jacobs has taught an method for managing communications between requesters (items 202, 204, 206) and server processes (items 230, 234, 238) in a data processing network (Figure 2) including:

creating a set of dispatcher processes (figure 2 ,items 214, 220, 226), each having a unique dispatcher process identifier (Col 25 lines 16-18);

associating each of a set of requester processes, which communicate with a server process via a common interpreter process having a common process identifier (Col 8 lines 57-59, Col 20 lines 24-67), with a unique dispatcher process of said set of dispatcher processes (Col 25 lines 16-18);

for requests sent from any of said set of requester process via said common interpreter process to server process which identifies each of said set of requester processes using the unique dispatcher process identifier, routing said requests via the associated dispatcher process (Col 25 lines 16-25);

at the respective dispatcher process, attaching the unique dispatcher process identifier to the request and then forwarding the request to the server process (Col 25 lines 16-25);

responsive to receipt by the dispatcher process of a reply to said request, forwarding the reply to the associated requester process via the common interpreter process (Col 8 lines 60-63).

5. Referring to claim 2, Jacobs has further taught wherein the common interpreter process via which each of said set of requester processes associated with the unique dispatcher process communicates is a Java Virtual Machine (Col 8 lines 7-14).

6.

7. Referring to claims 4 and 5 claims 4 and 5 encompass the same scope of the invention as that of the claim 1. Therefore, claims 4 and 5 are rejected for the same reason as the claim 1.

#### *Claim Rejections - 35 USC § 103*

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person

having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 2-3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jacobs, in view of Bayeh, US Patent Number 6,223,202, hereinafter Bayeh.
10. Referring to claim 2 –3, recites the limitation of a using a Java Virtual Machine including respective Servlet running on a Web server, and Cohen has not taught such limitation using Java Virtual Machine.

However, all the claimed elements, such as JVM, Web server, Web application server, Servlet threads, Web browser are all well known network communication elements in the network communication arts. Bayeh shows a “A Web server that implements a Java virtual machine can be functionally extended using Java “servlets.” (Col 2 lines 27-35), and also teaches dispatchers which communicates with web servers under the virtual machine environment (Col 7 lines 41-59).

It would have been obvious to a person with ordinary skill in the art at the time the invention was made to modify the teaching of Jacobs such that to use a Java Virtual Machine including respective Servlet running on a Web server in his invention because Bayeh has taught a dispatcher which communicates with web servers under the virtual machine environment (Col 7 lines 41-59).

A person with ordinary skill in the art would have been motivated to make the modification to Jacobs because having JVM running in Jacobs’s system would allow the Java programming language to be used in Jacobs’s invention, and Java is gaining a wide acceptance for writing Web applications, as it is a robust portable object-oriented language defined specifically for the web environment (Col 2 lines 1-26).

*Response to Arguments*

11. Applicant's arguments with respect to claims 1-5, have been considered but are moot in view of the new ground(s) of rejection.

*Conclusion*

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).
13. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.
14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Liang-che Alex Wang whose telephone number is (703) 305-8159. The examiner can normally be reached on Monday thru Friday, 8:30 am to 5:00 pm.

15. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain T Alam can be reached on (703)308-6662. The fax phone numbers for the organization where this application or proceeding is assigned is (703) 872-9306 for regular communications.

16. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-9000.

Liang-che Wang  
February 23<sup>th</sup>, 2004 *LW*

*Hosain Alam*  
HOSAIN ALAM  
SUPERVISORY PATENT EXAMINER